PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Paperwork Reduction Act of 1995, no persons **Application Number** 10/683,928 **TRANSMITTAL** Filing Date October 10, 2003 **FORM** First Named Inventor Robert R. HUNTER Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number TREND-00102 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** A return postcard and two references CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Derek J. Westberg (Reg. No. 40,872) Individual name Signature Date Feb 9, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Daniel Ratoff Date Feb 9, 2004 Signature information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ventor:

Robert R. Hunter.

Confirmation No.:

Application No:

10/683,928

Examiner:

Filing Date:

10/10/2003

Group Art Unit:

Title:

METHOD AND APPARATUS FOR READING AND CONTROLLING

UTILITY CONSUMPTION

\* \* \*

## INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

Pursuant to 37 CFR § 1.56(a) and 1.97(b), Applicant hereby cites the following documents listed on the attached Form PTO/SB/08B.

In accordance with 37 CFR § 1.97(h) the filing of this information disclosure statement shall not be construed as an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is also authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 50-1610.

Respectfully submitted, Law Office of Derek J. Westberg

D,

Derek J. Westberg Reg. No. 40,872 Fab. 9, 2004

Date

PTO/SB/08b (08-03)

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					Application Number	10/683,928			
	INFO	RMATION DI	SCLO	SURE	Filing Date	October 10, 2003			
STATEMENT BY APPLICANT					First Named Inventor	rst Named Inventor Robert R. HUNTER			
					Art Unit				
		(Use as many sheets as	necessary)		Examiner Name				
	Sheet	1	of	1	Attorney Docket Number	TREND-00102			

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		R. LEVY, New Principles for Demand Response Planning, EP-P6035/C3047, EPRI, Palo Alto, CA, March 2002				
		GULF POWER, Price Responsive Load Management, web site viewed on or about June 17, 2003, actual publication date unknown, Gulf Power, Atlanta, GA. <a href="http://www.energy.ca.gov/peakload/documents/2002-03-15_presentations/2002-03-15_gulfpower.ppt">http://www.energy.ca.gov/peakload/documents/2002-03-15_presentations/2002-03-15_gulfpower.ppt</a>				
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Examiner		Date				

Examiner Signature	Date Considered	
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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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